

CUSTOMER IDENTIFICATION PROGRAM NOTICE

Important information you need to know about opening an IBKR Asset Management account

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity who opens an account.

What this means for you

When you request to open an account with IBKR Asset Management, we will ask for your name, address, date of birth, Social Security or taxpayer identification number, and other information about you or your organization that will allow us to identify you. We may also ask you to provide certain identifying documents, including but not limited to your driver's license, passport, or your organization's articles of incorporation.

If you do not provide the information requested or your identity cannot be verified, then we may not be able to open an account for you.

By applying for a IBKR Asset Management account, you agree to provide the information and documentation requested by IBKR Asset Management.